

# The Cyclotomic Birman-Murakami-Wenzl Algebras and Cylindrical Tangles

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The **Braid group** on  $n$  strings:

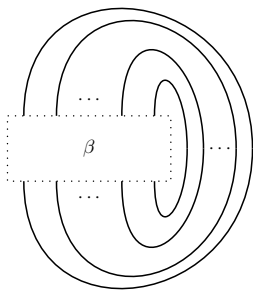
$$\mathcal{B}_n = \left\langle \sigma_1, \dots, \sigma_{n-1} \mid \begin{array}{l} \sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1} \quad \forall i = 1, \dots, n-2 \\ \sigma_i \sigma_j = \sigma_j \sigma_i \quad \text{if } |i-j| \geq 2 \end{array} \right\rangle$$

$$\sigma_i = \begin{array}{cccccccc} & & 1 & & i-1 & i & i+1 & i+2 & & n \\ & & | & & | & \diagdown & / & | & & | \\ \sigma_i & = & | & \dots & | & & & | & \dots & | \\ & & | & & | & \diagup & \diagdown & | & & | \end{array}$$

The braid group  $\mathcal{B}_n$  is an Artin group of type  $A$ .

# Closure of Braids

Taking closure of braids leads to knots and links in  $S^3$



→ link invariants

→ Kauffman link polynomial

$$\begin{array}{c} \diagup \diagdown \\ \diagdown \diagup \end{array} - \begin{array}{c} \diagdown \diagup \\ \diagup \diagdown \end{array} = \delta \left[ \begin{array}{c} \diagup \diagdown \\ \diagup \diagdown \end{array} - \begin{array}{c} \diagdown \diagup \\ \diagdown \diagup \end{array} \right]$$

→ **Birman-Murakami-Wenzl  
(BMW) Algebras**

## Definition

$R$  - commutative ring with 1.

units  $A_0, q, \lambda \in R$  such that  $\lambda - \lambda^{-1} = \delta(1 - A_0)$ ,  
 where  $\delta := q - q^{-1}$ .

The **BMW algebra**  $\mathcal{C}_n$  is defined by

Generators:  $X_1^{\pm 1}, \dots, X_{n-1}^{\pm 1}$  and  $e_1, \dots, e_{n-1}$

$$\begin{array}{ll}
 \text{Relations:} & X_i - X_i^{-1} = \delta(1 - e_i) \\
 & X_i X_j = X_j X_i \quad \text{for } |i - j| \geq 2 \\
 & X_i X_{i+1} X_i = X_{i+1} X_i X_{i+1} \\
 & X_i e_j = e_j X_i \quad \text{for } |i - j| \geq 2 \\
 & e_i e_j = e_j e_i \quad \text{for } |i - j| \geq 2 \\
 & X_i e_i = e_i X_i = \lambda e_i \\
 & X_i X_j e_i = e_j e_i = e_j X_i X_j \quad \text{for } |i - j| = 1 \\
 & e_i e_{i \pm 1} e_i = e_i \\
 & e_i^2 = A_0 e_i
 \end{array}$$

# Kauffman Tangle Algebras

## Definition

$\mathbb{T}_n$  := set of  $n$ -tangles up to regular isotopy.

$\mathbb{KT}_n$  := monoid  $R$ -algebra  $R[\mathbb{T}_n]$  modulo the following relations:

(1) (Kauffman skein relation)

$$\begin{array}{c} \diagdown \\ \diagup \end{array} - \begin{array}{c} \diagup \\ \diagdown \end{array} = \delta \left[ \begin{array}{c} ) \\ ( \end{array} - \begin{array}{c} \cup \\ \cap \end{array} \right]$$

(2) (Untwisting relation)

$$\begin{array}{c} \curvearrowright \\ | \end{array} = \lambda \quad \text{and} \quad \begin{array}{c} \curvearrowleft \\ | \end{array} = \lambda^{-1}$$

(3)  $T \amalg \bigcirc = A_0 T$ ,

where  $T \amalg \bigcirc$  is the union of  $T$  and a circle which has no crossings with  $T$  or itself.

## Theorem (Morton and Wassermann)

- ① The BMW algebra  $\mathcal{C}_n$  is isomorphic to  $\mathbb{K}T_n$ .

$$X_i \mapsto \begin{array}{cccccccc} & & 1 & & i-1 & i & i+1 & i+2 & & n \\ & & | & & | & \diagdown & / & | & & | \\ & & \dots & & | & & & | & & \dots & | \end{array}$$

$$e_i \mapsto \begin{array}{cccccccc} & & 1 & & i-1 & i & i+1 & i+2 & & n \\ & & | & & | & \cup & \cap & | & & | \\ & & \dots & & | & & & | & & \dots & | \end{array}$$

- ② The BMW algebra  $\mathcal{C}_n$  is  $R$ -free of rank  $(2n-1)!! = (2n-1) \cdot (2n-3) \cdots 3 \cdot 1 = \frac{(2n)!}{2^n n!}$ .
- ③ It has a nice diagrammatic basis that is easy to write down.

# Diagrammatic basis of $\mathcal{C}_n$

- BMW algebra is a deformation (“q-analogue”) of the Brauer algebra, in the same way the Iwahori-Hecke algebra of type  $A$  is a deformation of the group algebra of the symmetric group.

[Morton & Wassermann, Halverson & Ram]

$$\text{Brauer} \xrightarrow{\text{impose over and under crossings}} \text{BMW}$$

- Alternatively, the Brauer algebra is the “classical limit” (send  $q \mapsto 1$ ) of the BMW algebra or Kauffman Tangle algebra;

$$\text{BMW} \xrightarrow{\text{over} = \text{under crossings}} \text{Brauer}$$

(So diagrams with only vertical strands degenerate into permutations.)

- ① Iwahori-Hecke algebra associated with the symmetric group  $\mathfrak{S}_n$  is a quotient of  $\mathcal{C}_n$ .
- ② It is **cellular**, in the sense of Graham and Lehrer, [Enyang, Xi]
- ③ and **quasi-hereditary**, in the sense of Cline, Parshall and Scott.  
[Xi]
- ④ The Braid group **embeds** in the BMW algebra:  $\mathcal{B}_n \hookrightarrow \mathcal{C}_n$ .  
[Bigelow], [Krammer], [Zinno]

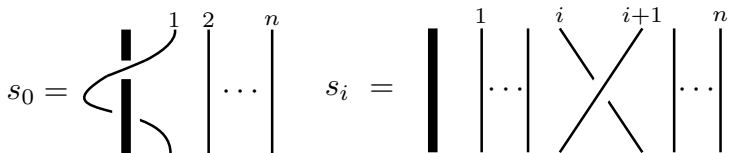


# Artin group of type $B$

The Artin group of type  $B_n$ :

$$\left\langle s_0, s_1, \dots, s_{n-1} \mid \begin{array}{l} s_0 s_1 s_0 s_1 = s_1 s_0 s_1 s_0 \\ s_i s_{i+1} s_i = s_{i+1} s_i s_{i+1} \quad \forall 1 \leq i \leq n-2 \\ s_i s_j = s_j s_i \quad \text{if } |i-j| \geq 2 \end{array} \right\rangle$$

Type  $B$  braids: affine or cylindrical braids.



These are ordinary braids on  $n + 1$  strings where the first string is pointwise fixed. The leftmost string is drawn as a vertical line segment and other strings may twist about it.

Taking the closure of these braids leads to the study of links in the solid torus.

# Cyclotomic BMW Algebras

## Definition

$R$  - commutative ring with 1. Units  $A_0, q_0, q, \lambda$  and further elements  $q_1, \dots, q_{k-1}, A_1, \dots, A_{k-1}$  s.t.  $\lambda - \lambda^{-1} = \delta(1 - A_0)$ .

The **cyclotomic BMW algebra**  $\mathcal{B}_n^k$  is defined by

Generators:  $Y^{\pm 1}, X_1^{\pm 1}, \dots, X_{n-1}^{\pm 1}$  and  $e_1, \dots, e_{n-1}$

$$\begin{aligned} \text{Relations:} \quad X_i - X_i^{-1} &= \delta(1 - e_i) \\ X_i X_j &= X_j X_i && \text{for } |i - j| \geq 2 \\ X_i X_{i+1} X_i &= X_{i+1} X_i X_{i+1} \\ X_i e_j &= e_j X_i && \text{for } |i - j| \geq 2 \\ e_i e_j &= e_j e_i && \text{for } |i - j| \geq 2 \\ X_i e_i &= e_i X_i = \lambda e_i \\ X_i X_j e_i &= e_j e_i = e_j X_i X_j && \text{for } |i - j| = 1 \\ e_i e_{i \pm 1} e_i &= e_i \\ e_i^2 &= A_0 e_i \end{aligned}$$

## Definition (continued)

$$Y^k = \sum_{i=0}^{k-1} q_i Y^i$$

$$X_1 Y X_1 Y = Y X_1 Y X_1$$

$$Y X_i = X_i Y \quad \text{for } i > 1$$

$$Y e_i = e_i Y \quad \text{for } i > 1$$

$$Y X_1 Y e_1 = \lambda^{-1} e_1 = e_1 Y X_1 Y$$

$$e_1 Y^m e_1 = A_m e_1 \quad \text{for } 0 \leq m \leq k - 1$$

## Questions

- 1 Is it always free as an  $R$ -module? What is the rank?
- 2 Is  $\mathcal{B}_n^k$  isomorphic to some affine/cylindrical analogue of the Kauffman Tangle Algebra?

Answer is **not always!**

The  $k^{\text{th}}$  order relation on  $Y$  creates torsion on  $e_1$ . This implies we need to impose further restrictions on the parameters of our ground ring

$\rightsquigarrow$  rings with “admissible” parameters.

To determine the precise form of these conditions, one needs to focus on the representation theory of  $\mathcal{B}_2^k$ . [Wilcox & Y]

# Admissibility conditions

## Definition

The family of parameters  $(A_0, \dots, A_{k-1}, q_0, \dots, q_{k-1}, q, \lambda)$  is called **admissible** if

$$\beta = h_0 = h_1 = \dots = h_{z-\epsilon} = h'_1 = h'_2 = \dots = h'_{z-\epsilon} = 0.$$

$$\beta = q_0 \lambda - q_0^{-1} \lambda^{-1} + (1 - \epsilon) \delta$$

$$h_0 = \lambda - \lambda^{-1} + \delta(A_0 - 1)$$

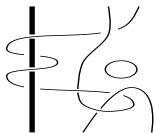
$$h_l = \lambda^{-1}(q_l + q_0^{-1} q_{k-l}) + \delta \left[ \sum_{r=1}^{k-l} q_{r+l} A_r - \sum_{i=\max(l+1, z)}^{\lfloor \frac{l+k}{2} \rfloor} q_{2i-l} + \sum_{i=\lceil \frac{l}{2} \rceil}^{\min(l, z-1)} q_{2i-l} \right]$$

$$h'_l = \sum_{r=1}^l q_0^{-1} q_{r+k-l} A_r - \sum_{r=0}^{k-l} q_{r+l} A_r \\ - \sum_{i=\lceil \frac{l}{2} \rceil}^{l-1} (q_0^{-1} q_{k-2i+l} + q_{2i-l}) + \sum_{i=z}^{\lfloor \frac{l+k}{2} \rfloor} (q_0^{-1} q_{k-2i+l} + q_{2i-l}).$$

- Originally, “admissibility” is a set of conditions on the parameters in  $R$  which ensure that  $\mathcal{B}_2^k$  is of rank  $3k^2$ .
- In fact, these conditions turns out to be sufficient for freeness results for any  $n$ .
- It is not straightforward to show that there are any non-trivial rings with admissible parameters; i.e. that the conditions are consistent with each other.
- Admissibility is very close to essentially a set of conditions required for a (nondegenerate) Markov trace function on  $\mathcal{B}_n^k$  (required later).

# Cyclotomic Kauffman Tangle Algebras

Affine 2-tangle diagram:



## Definition

$\widehat{\mathbb{T}}_n$  := monoid of regular isotopy equivalence classes of affine  $n$ -tangles.

$\mathbb{KT}_n^k$  := monoid  $S$ -algebra  $S[\widehat{\mathbb{T}}_n]$  modulo the following relations:

(1) (cyclotomic skein relation)  $\sum_{r=0}^k q_r \cdot \mathcal{Y}^r = 0.$

(2) (Kauffman skein relation)

$$\begin{array}{c} \diagdown \diagup \\ \diagup \diagdown \end{array} - \begin{array}{c} \diagup \diagdown \\ \diagdown \diagup \end{array} = \delta \left[ \begin{array}{c} \diagdown \diagup \\ \diagdown \diagup \end{array} - \begin{array}{c} \diagup \diagdown \\ \diagup \diagdown \end{array} \right]$$

## Definition (continued)

### (3) (Untwisting relation)

$$\begin{array}{c} \text{positive twist} \\ \text{loop} \end{array} = \lambda \left| \begin{array}{c} \text{crossing} \\ \text{loop} \end{array} \right. \quad \text{and} \quad \begin{array}{c} \text{negative twist} \\ \text{loop} \end{array} = \lambda^{-1} \left| \begin{array}{c} \text{crossing} \\ \text{loop} \end{array} \right.$$

### (4) (Free loop relations)

For all  $m = 0, \dots, k-1$ ,

$$T \amalg \begin{array}{c} \text{m full twists} \\ \text{loop} \end{array} = A_m T$$

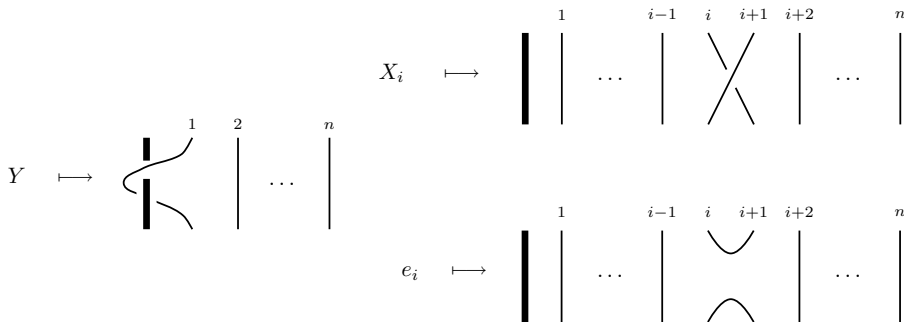


$$\mathcal{B}_n^k \cong \mathbb{KT}_n^k$$

## Theorem

Let  $S$  be a ring with admissible parameters.

- ① The cyclotomic BMW algebra  $\mathcal{B}_n^k$  is isomorphic to the cyclotomic Kauffman Tangle algebra  $\mathbb{KT}_n^k$ .
- ②  $\mathcal{B}_n^k$  is  $S$ -free of rank  $k^n(2n-1)!!$ .



# Ingredients for proof

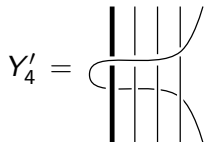
- Spanning - lots of tedious calculations.
- Linear independence:
  - admissibility.
  - Nondegenerate Markov trace on  $\mathcal{B}_n^k \sim$  closure of affine tangles. (Alternatively, normal form for  $\mathcal{B}_n^k$  gives an inductive definition of trace)

Goodman & Hauschild-Mosley and Rui, Xu & Si have also established freeness of  $\mathcal{B}_n^k$ .

**G&H** work over a more restrictive class of rings (stronger notion of admissibility plus ID). Their proof involves topological arguments (spanning) and Jones Basic Construction theory (semisimple setting).

**R&X&S** work with a different stronger notion of admissibility too. Their proof involves constructing seminormal representations.

$$Y'_i := X_{i-1}X_{i-2}\dots X_1 Y X_1 \dots X_{i-2}X_{i-1}.$$



Suppose  $l \geq 1$ ,  $i \leq j \leq l$  and  $p \in \mathbb{Z}$ .

$$\alpha_{ijl}^p := Y'_i{}^p X_i \dots X_{j-1} e_j \dots e_l.$$



The affine 7-tangle associated with the element  $\alpha_{4,6,6}^0 \alpha_{1,3,4}^0 \in \mathcal{B}_7^k$ .

# Basis for $\mathcal{B}_n^k$

$*$  :  $\mathcal{B}_n^k \rightarrow \mathcal{B}_n^k$  is the anti-involution which fixes all generators.

$\widetilde{\mathfrak{W}}_{n-2f,k}$  = certain 'lifting' of a basis  $X_{n-2f,k}$  of the smaller Ariki-Koike algebra  $\mathfrak{h}_{n-2f,k}$  into  $\mathcal{B}_n^k$ .

## Theorem

The set of all elements of this form is a basis of  $\mathcal{B}_n^k$  over  $S$ .

$$\alpha_{i_1 j_1, n-1}^{s_1} \cdots \alpha_{i_f j_f, n-2f+1}^{s_f} \chi^{(n-2f)} (\alpha_{g_f h_f, n-2f+1}^{t_f})^* \cdots (\alpha_{g_1 h_1, n-1}^{t_1})^*,$$

where  $f = 1, 2, \dots, \lfloor \frac{n}{2} \rfloor$ ,  $i_1 > i_2 > \dots > i_f$ ,  $g_1 > g_2 > \dots > g_f$   
and, for each  $m = 1, 2, \dots, f$ , we require  $1 \leq i_m < j_m \leq n - 2m + 1$ ,  
 $s_1, \dots, s_f, t_1, \dots, t_f \in \{ \lfloor \frac{k}{2} \rfloor - (k-1), \dots, \lfloor \frac{k}{2} \rfloor \}$  and  $\chi^{(n-2f)}$  is an  
element of  $\widetilde{\mathfrak{W}}_{n-2f,k}$ .

(Remark: This reduces to a basis of  $\mathcal{C}_n$  when  $k = 1$ )

Examples of cellular algebras:

- Iwahori-Hecke algebras of the symmetric group. [Graham & Lehrer]
- Brauer and Temeperley-Lieb algebras. [Graham & Lehrer]
- BMW algebras. [Enyang, Xi]
- cyclotomic Brauer algebras. [Rui]
- Ariki-Koike algebras [Graham & Lehrer] & [Dipper, James & Mathas]

Question: Is  $\mathcal{B}_n^k$  cellular?

## Theorem

Let  $S$  be a ring with split admissible parameters. Then  $\mathcal{B}_n^k(S)$  is a **cellular** algebra, in the sense of Graham and Lehrer.

Analysing the representation theory of  $\mathcal{B}_n^k$ :

Cellularity

- $\rightsquigarrow$  parametrisation of irreducible  $\mathcal{B}_n^k$ -modules.
- $\rightsquigarrow$  We know how to construct all irreps in generic case.
- $\rightsquigarrow$  semisimplicity criterion. [Rui & Si]

- Knot theory:  
Nondegenerate Markov trace on  $\mathcal{B}_n^k$   
     $\rightsquigarrow$  Kauffman-type invariants of links in the solid torus.
- Other types of Artin groups? [Cohen, Gijssbers & Wales]  
Other types of complex reflection groups?  
Associated embedding and linearity questions?  
[Bigelow], [Krammer], [Zinno], [Digne], [Cohen & Wales], [Castella], [Marin], ...
- Statistical Mechanics. ( $A_0 = 0$ )
- Quantum groups.
- Schur-Weyl Duality. [Orellana & Ram]